



TITLE:

# The effect of trunk rotation during shoulder exercises on the activity of the scapular muscle and scapular kinematics

AUTHOR(S):

Yamauchi, Taishi; Hasegawa, Satoshi; Matsumura, Aoi; Nakamura, Masatoshi; Ibuki, Satoko; Ichihashi, Noriaki

---

CITATION:

Yamauchi, Taishi ...[et al]. The effect of trunk rotation during shoulder exercises on the activity of the scapular muscle and scapular kinematics. Journal of Shoulder and Elbow Surgery 2015, 24(6): 955-964

ISSUE DATE:

2015-06

URL:

<http://hdl.handle.net/2433/232582>

RIGHT:

© 2015. This manuscript version is made available under the CC-BY-NC-ND 4.0 license <http://creativecommons.org/licenses/by-nc-nd/4.0/>; The full-text file will be made open to the public on 01 June 2016 in accordance with publisher's 'Terms and Conditions for Self-Archiving'; この論文は出版社版ではありません。引用の際には出版社版をご確認ください。; This is not the published version. Please cite only the published version.

## Trunk rotation during shoulder exercises

1    **Title: The effect of trunk rotation during shoulder exercises on the activity of the**  
2    **scapular muscle and scapular kinematics**

3

4    **Running title: Trunk rotation during shoulder exercises**

5

6        Taishi Yamauchi, PT<sup>a</sup>, Satoshi Hasegawa, PT, PhD<sup>a</sup>, Aoi Matsumura, PT, MSc<sup>b</sup>,

7        Masatoshi Nakamura, PT, PhD<sup>a, d</sup>, Satoko Ibuki, PT<sup>a</sup>, Noriaki Ichihashi, PT, PhD<sup>a</sup>

8

9    <sup>a</sup> Human Health Sciences, Graduate School of Medicine, Kyoto University, Japan

10   <sup>b</sup> Rehabilitation Unit, Kyoto University Hospital

11   <sup>c</sup> Japan Society for the Promotion of Science, Japan

12   <sup>d</sup> Faculty of Health and Sports Science, Doshisha University, Japan

13   \*Corresponding author: Taishi Yamauchi, PT

14   Human Health Sciences, Graduate School of Medicine, Kyoto University

15   53 Shogoin-Kawahara-cho, Sakyo-ku, Kyoto 606-8507, Japan

16   Phone: +81-75-751-3935; Fax: +81-75-751-3909

## Trunk rotation during shoulder exercises

17 E-mail: yamauchi.taishi.83z@st.kyoto-u.ac.jp

18

19 **Disclaimer:**

20 The authors, their immediate families, and any research foundations with which they are  
21 affiliated have not received any financial payments or other benefits from any commercial  
22 entity related to the subject of this article.

23 This study has been approved by the Ethics Committee of the Kyoto University Graduate  
24 School and Faculty of Medicine (approval no.: E1235).

25

## Trunk rotation during shoulder exercises

### 26 Abstract

27 **Background:** In patients with shoulder pathology, kinetic chain exercises including hip or  
28 trunk movement are recommended. However, the actual muscle activation and scapular  
29 kinematics of these exercises are not known. The purpose of this study was to examine the  
30 effect of trunk rotation on shoulder exercises that are devised to improve scapular function.

31 **Methods:** Thirteen healthy young men participated in this study. Scaption, external rotation  
32 in the 1st and 2nd position, and prone scapular retraction at 45°, 90°, and 145° of shoulder  
33 abduction were performed with and without trunk rotation. Electromyography was used to  
34 assess the scapular muscle activity of the upper trapezius (UT), middle trapezius (MT), lower  
35 trapezius (LT) and serratus anterior (SA), and electromagnetic motion capture was used to  
36 assess scapular motion. The muscle activity ratio, which is the activity of the UT to the MT,  
37 LT, and SA were calculated. These data were compared between two conditions (with and  
38 without trunk rotation) for each exercise.

39 **Results:** Adding trunk rotation to scaption, the 1st and the 2nd external rotation significantly  
40 increased scapular external rotation and/or posterior tilt, and all three exercises increased LT  
41 activation. Additionally, trunk rotation with scapular retraction at 90° and 145° of shoulder  
42 abduction significantly decreased the UT/LT ratio.

43 **Conclusions:** Our findings suggest that shoulder exercises with trunk rotation in this study  
44 may be effective in patients who have difficulty in enhancing LT activity and suppressing  
45 excessive activation of the UT, and/or in cases where a decreased scapular external rotation  
46 and/or posterior tilt is observed.



## Trunk rotation during shoulder exercises

47

48 **Level of evidence:** Basic Science, Kinesiology Study

49

50 **Key words:** shoulder exercise; kinetic chain; trunk rotation; rehabilitation; scapular

51 kinematics; muscle activation ratio

52

## Trunk rotation during shoulder exercises

### 53 Introduction

54       Appropriate movement of the scapula is crucial for preventing shoulder injuries caused  
55   by accumulated minimal stress on the soft tissues surrounding the glenohumeral joint.<sup>3, 5-8, 13,</sup>  
56   <sup>20-23, 25, 26, 34</sup> Inadequate scapular movements and positions are known to be a common cause  
57   of shoulder dysfunction or pain, and recovery of scapular control plays a key role in  
58   shoulder rehabilitation.<sup>3, 13, 21, 25, 26, 34</sup> A previous review examining the scapular kinematics  
59   during shoulder elevation indicated that many studies found decreased upward rotation,  
60   posterior tilt, and increased internal rotation of the scapula during shoulder elevation.<sup>26</sup>  
61   Therefore, exercises in which the scapula moves into upward rotation, external rotation (ER),  
62   or posterior tilt are very important.<sup>24, 30, 32</sup>

63       Proper scapular motion during arm elevation is achieved by force couples provided by  
64   the upper trapezius (UT), middle trapezius (MT), lower trapezius (LT), and serratus anterior  
65   (SA).<sup>5-7, 14, 16, 22, 23, 25, 36</sup> UT and SA act in scapular upward rotation, UT in scapular elevation,  
66   and SA in scapular protraction.<sup>16</sup> The MT and LT resist the SA during scapular protraction,  
67   and the LT resists the UT during scapular elevation; as a result, the MT and LT maintain the  
68   position of scapula and build an axis of scapular upward rotation.<sup>16</sup> In addition, LT activity  
69   increases at  $\geq 90^\circ$  of arm elevation and is important for scapular posterior tilt.

70       A failure in cooperative activation of scapular muscles, including hyperactivity of the  
71   upper trapezius (UT) in combination with poor activity of the middle trapezius (MT), lower  
72   trapezius (LT), and serratus anterior (SA), leads to inadequate scapular motion and shoulder  
73   pathologies.<sup>5-7, 25, 36</sup> Therefore, the relative activity of the UT with respect to the MT, LT, and

## Trunk rotation during shoulder exercises

74 SA; i.e., the muscle activation ratios of the UT/LT, UT/MT, and UT/SA; are of particular  
75 importance.<sup>5, 25, 36</sup>

76 Previous studies investigating the role of the scapula in shoulder pathology have  
77 focused on scapular muscle activation during shoulder rehabilitation exercises; many have  
78 evaluated activation using electromyography (EMG).<sup>5, 22, 23, 32</sup> However, scapular kinematics  
79 during such exercises are not well known. Oyama et al.<sup>32</sup> investigated scapular kinematics  
80 and muscle activity during six scapular retraction exercises. They reported that scapular  
81 retraction with the shoulder ER at 90° abduction, and with shoulder ER at 45° abduction  
82 increased in scapular ER, upward rotation, and posterior tilt.<sup>32</sup> By knowing the scapular  
83 kinematics during exercises from these biomechanical studies, clinicians can obtain valuable  
84 information needed for selecting proper exercises for patients with shoulder pathologies.<sup>32, 35</sup>

85 Recently, kinetic chain exercises including the hip and trunk extension or diagonal  
86 movement pattern in scapular retraction exercises are drawing attention because such  
87 exercises activate the scapular muscles, in particular the LT.<sup>22, 23, 27</sup> Nagai et al. examined the  
88 effect of trunk rotation added to shoulder flexion exercise in the sitting position, and reported  
89 that scapular kinematics and muscle activity were changed with trunk rotation.<sup>31</sup>

90 They reported that the ipsilaterally rotated trunk position during humeral elevation  
91 increased scapular ER and upward rotation, while a contralaterally rotated trunk position  
92 caused higher UT and SA activity and lower LT activity. In view of their research, shoulder  
93 exercises with ipsilateral trunk rotation may induce desirable scapular motion and muscle  
94 activation. However, to the best of our knowledge, no study has examined scapular

## Trunk rotation during shoulder exercises

95 movement along with the muscle activity and muscle activation ratio during various shoulder  
96 exercises with trunk rotation.

97 The aim of this study was to compare the scapular kinematics and muscle activity  
98 during various shoulder exercises with and without trunk rotation.

99

## Trunk rotation during shoulder exercises

### 100 **Materials and Methods**

101 This is a cross sectional basic science kinesiology study comparing scapular  
102 kinematics and muscle activity during various shoulder exercises with and without trunk  
103 rotation.

104

### 105 **Participants**

106 Thirteen healthy young men (mean age,  $21.5 \pm 1.5$  years; mean height,  $172.5 \pm 8.2$   
107 cm; and mean weight,  $65.2 \pm 7.4$  kg) with no history of shoulder pathology or any complaint  
108 participated in this study. All subjects were right-handed, and the dominant shoulder was  
109 tested. The study protocol well was explained, and all subjects were fully consented with the  
110 study.

111

### 112 **Instrumentation**

113 Three-dimensional kinematic data was obtained from the thorax, humerus, and  
114 scapular using an electromagnetic motion capture system (Liberty, Pohlemus, Colchester,  
115 Vermont, USA) operating at a sampling rate of 120 Hz. Its System Electronics Unit generates  
116 and senses the electromagnetic fields and computes the location and orientation of each  
117 sensor. A global coordinate system was established from a transmitter fixed on a board.  
118 Electromagnetic sensors were attached on the skin overlying the sternum, acromion,  
119 midpoint of the humerus (via a molded thermoplastic cuff), and the styloid process of ulna

## Trunk rotation during shoulder exercises

of the dominant arm. Next, in order to establish the anatomically based local coordinate systems (LCSs), the bony landmarks of the subjects were palpated and established using the Liberty sensor stylus with an embedded electromagnetic sensor while they stood with their arms hanging at their side. Each LCS was defined according to the recommendations of the International Society of Biomechanics (ISB)<sup>37</sup>. The C7 spinous process, sternal notch, xiphoid process, and T8 spinous process were used to define the LCS of the thorax; the acromial angle, trigonum scapulae, and inferior angle were used to define the LCS of the scapula; the midpoint of the thermoplastic cuff on the humerus and the medial/lateral epicondyles were used to define the LCS of the humerus; and the medial/lateral epicondyles and ulnar styloid were used to define the LCS of the forearm. Previous studies have shown that 3-dimensional scapular kinematics can be assessed using this method with high accuracy in humeral elevation angle less than 120°.<sup>18, 28</sup>

EMG activities were collected with a sampling rate of 1,500 Hz by using the Telemetry DTS Telemetry system (Noraxon Inc., Scottsdale, AZ, USA). EMG activities and kinematic data obtained from the electromagnetic sensor were synchronized using a manual trigger. Four muscles (UT, MT, LT, and SA) that play key roles in scapular control were chosen for analysis. After the electrode sites were shaved and cleaned with scrubbing gel and alcohol, electrodes with 2-cm center-to-center inter-electrode distance were applied to the skin overlying each muscle of the dominant arm according to the SENIAM recommendations<sup>14</sup> and a previous study.<sup>2</sup> We chose these four muscles because these muscles are involved in scapular control.<sup>5, 7, 22, 23, 32, 33</sup>

The UT electrode was placed at 50% on the line from the acromion to the spine on

## Trunk rotation during shoulder exercises

142 vertebra C7; the MT electrode was placed at 50% between the medial border of the scapula  
143 and the spine at the level of T3; the LT electrode was placed at 2/3 on the line from the  
144 trigonum spinea to the 8th thoracic vertebra; and the SA electrode was placed over the 7th  
145 rib on the anterior axillary line.

146 .

### 147 **Procedures**

148 Each subject performed a series of six exercises with or without trunk rotation in a  
149 random order to avoid systematic influences of fatigue and learning effects. The exercises  
150 are presented in Figs. 1 and 2. We examined functional shoulder exercises performed in the  
151 standing position with and without hip and trunk rotation, and scapular retraction exercises  
152 were performed in the prone position, which is a common MT/LT exercise, with and without  
153 trunk rotation. Each exercise was chosen for the following reasons. Scaption was chosen  
154 because it is a basic arm elevation exercise. We chose the 1st ER because LT was activated  
155 without excessive UT activity.<sup>5, 31</sup> Further, the 2nd ER was chosen because this exercise  
156 involves an action similar to the late-cocking phase of the throwing motion.<sup>12</sup> Retraction  
157 exercises at 45, 90, and 145 were chosen because these exercises increased scapular ER,  
158 upward rotation, posterior tilt, and LT activation.<sup>30</sup>

159 With the dominant arm, subjects performed exercises initiating at the start position to  
160 the end position, i.e., up to the end range of motion. All exercises were performed in three  
161 phases—a concentric phase for 2 seconds, isometric phase for 1 second, and eccentric phase  
162 for 2 seconds—with time controlled by a metronome. For exercises with trunk and hip

## Trunk rotation during shoulder exercises

rotation, subjects were instructed to ipsilaterally and maximally rotate their trunk and hip for exercises performed in the upright position (i.e., scaption, the 1st ER, and the 2nd ER) simultaneously with upper limb motion. Subjects were instructed to rotate their trunk without moving their pelvis for exercises performed in the prone position (i.e., retraction at 45° [retraction 45], retraction at 90° [retraction 90], and retraction at 145° [retraction 145]) simultaneously with upper limb motion. For exercises performed in the prone position, only the trunk was rotated without including hip rotation in order to perform a stable movement. All subjects completed five trials of each exercise.

### Data reduction

Rotations of the distal coordinate system (humerus and scapula) were described with respect to the proximal coordinate system (thorax) using Euler angles in accordance with ISB's recommendations (Fig. 3).<sup>37</sup> The scapular angles (upward/downward rotation, external/internal rotation, and posterior/anterior tilting) and humeral elevation angles in scaption and external rotation during the 1st ER and 2nd ER were measured using custom Matlab code (Mathworks, Natick, MA, USA). Kinematic data were smoothed using a Butterworth low-pass digital filter (fourth order) at an estimated cutoff frequency of 4 Hz.

The original raw EMG signal was band-pass filtered at 20–500 Hz. The root-mean-squares (RMS) of the raw data were determined, and 3-s maximal voluntary contractions (MVC) were calculated for each muscle. The MVC EMG activity was recorded for the UT, MT, LT, and SA while the subject performed MVC against manual resistance, as previously



## Trunk rotation during shoulder exercises

described for manual muscle testing.<sup>19</sup> EMG data from the MVC were used to normalize the EMG amplitude (% MVC) during the testing protocol. The average RMS EMG amplitude of the each muscle was normalized to each of the MVCs. For the analytical EMG data, the EMG and kinematic data were synchronized using Matlab. The middle three of five trials were used for analysis. The three data sets were averaged. Then the mean EMG data during the concentric phase of each exercise and the amount of change in the scapular angle from start to end position for each task were analyzed.

Since the aim of this study was to investigate the muscle balance among the scapular muscles during these exercises, the relative activity of the UT with respect to the MT, LT, and SA was determined. The muscle activity ratios were calculated by dividing normalized EMG values of the UT by normalized EMG values of the LT, MT and SA, and was expressed as the ratios of UT/LT, UT/MT, and UT/SA.<sup>5</sup> Values <1 reflected that the MT, LT, or SA muscles were more activated compared to the UT.

## Statistics

SPSS for Windows, version 14.0 software (SPSS, Chicago, IL, USA) was used for the data analysis. We compared the kinematic and EMG data collected during each exercise and the calculated muscle activity ratio between the two conditions (with and without trunk rotation) by using the Wilcoxon signed-rank test. The level of statistical significance was set at  $p < 0.05$ . Results are presented as mean  $\pm$  standard deviation.

## Trunk rotation during shoulder exercises

### 205     **Results**

#### 206     **Scaption**

207             The results of the kinematic and EMG data and the muscle activity ratio for scaption  
208     are shown in Table 1. With trunk rotation, the angle of scapular ER and posterior tilt  
209     significantly increased, the EMG activity of the MT and LT significantly increased, and the  
210     UT/MT and UT/LT ratios significantly decreased.

211

#### 212     **1st ER**

213             The results of the kinematic and EMG data and the muscle activity ratio for the 1st  
214     ER are shown in Table 2. With trunk rotation, the angle of scapular posterior tilt significantly  
215     increased, the EMG activity of the LT and SA significantly increased, and the UT/LT and  
216     UT/SA ratios significantly decreased.

217

#### 218     **2nd ER**

219             The results of the kinematic and EMG data and the muscle activity ratio for the 2nd  
220     ER are shown in Table 3. With trunk rotation, the angle of scapular ER significantly increased,  
221     the EMG activity of the UT, MT, and LT significantly increased, the UT/MT ratio  
222     significantly decreased, and the UT/SA ratio significantly increased.

223

#### 224     **Retraction 45**

## Trunk rotation during shoulder exercises

225           The results of the kinematic and EMG data and the muscle activity ratio for retraction  
226 45 are shown in Table 4. With trunk rotation, the scapular kinematics, EMG activity of any  
227 muscle, and the muscle activity ratio were not changed.

228

### 229 **Retraction 90**

230           The results of the kinematic and EMG data and the muscle activity ratio for retraction  
231 90 are shown in Table 5. With trunk rotation, the angle of scapular upward rotation  
232 significantly decreased, but the scapular posterior tilting and ER were not changed.  
233 Additionally, with trunk rotation, the EMG activity of the UT significantly decreased, and  
234 the UT/MT, UT/LT, and UT/SA ratios significantly decreased.

235

### 236 **Retraction 145**

237           The results of the kinematic and EMG data and the muscle activity ratio for retraction  
238 145 are shown in Table 6. With trunk rotation, the angle of scapular upward rotation  
239 significantly decreased, but the scapular posterior tilting and ER were not changed.  
240 Additionally, with trunk rotation, the EMG activity of the UT and SA significantly decreased,  
241 the UT/MT and UT/LT ratios significantly decreased, but the UT/SA ratio significantly  
242 increased.

243

## Trunk rotation during shoulder exercises

### 244 Discussion

245 This study examined the effects of hip and trunk rotation on the scapular kinematics  
246 and the muscle activity during a series of six exercises. To the best of our knowledge, no  
247 study has examined scapular movement along with muscle activity and the muscle activation  
248 ratio during various shoulder exercises with trunk rotation.

249 In prior studies examining scapular muscle activity during shoulder exercises  
250 including hip and trunk movement, knee push up plus with contralateral leg extended and  
251 scapular retraction in a lunge position with contralateral leg forward increase LT activation.<sup>23</sup>  
252 <sup>27</sup> It is also known that scapular retraction exercises with hip and trunk ipsilateral rotation  
253 increase LT activity.<sup>22</sup> In the current study, three exercises performed in the upright position  
254 (scaption, the 1st ER, and the 2nd ER) with maximum ipsilateral hip and trunk rotation  
255 increased LT activation and scapular ER and/or posterior tilt. Exercises performed in the  
256 prone position (retraction 45, 90, and 145) with maximum ipsilateral trunk rotation did not  
257 change LT activity but decreased UT activity and the UT/LT ratio. Each exercise is discussed  
258 below.

259

### 260 Elevation of the arm - Scaption

261 Scaption is a motion that frequently causes pain in the shoulder. To prevent  
262 impingement and stress to the subacromial tissues, proper scapular motion; i.e., sufficient  
263 scapular upward rotation, ER, and posterior tilt; is essential during arm elevation.<sup>13, 24</sup> One  
264 factor preventing proper scapular motion is excess activation of the UT, accompanied by

## Trunk rotation during shoulder exercises

265 decreased activation of the LT, MT, and SA.<sup>5-7, 25, 36</sup>

266 In scaption with trunk rotation, the angle of scapular ER and posterior tilt were  
267 significantly increased, and the EMG activities of the MT and LT were significantly increased.  
268 In elevation of the arm, thoracic ipsilateral rotation and scapular ER have a positive  
269 correlation.<sup>9</sup> Besides, ipsilaterally rotated trunk position during humeral elevation promoted  
270 scapular ER.<sup>31</sup> In our study, ipsilateral trunk rotation increased scapular ER, which is  
271 consistent with these previous studies.<sup>9, 31</sup> Kibler et al.<sup>22</sup> have proposed that scapular  
272 retraction exercise with ipsilateral trunk rotation highly activates the LT. It is possible that  
273 ipsilateral trunk rotation increased the LT activation, which caused scapular ER and posterior  
274 tilt.

275 Clinically, muscle activity below 20% is considered low, activity between 20–40% is  
276 moderate, activity between 40–60% is high, and activity greater than 60% is very high.<sup>11</sup> In  
277 scaption with trunk rotation, the LT activity reached  $25.7 \pm 14.4\%$ , which is considered  
278 moderate. Moreover, the UT/MT and UT/LT ratios decreased, because trunk rotation  
279 increased the MT and LT but did not change the UT activation. These results suggest that  
280 scaption with trunk rotation is more effective than normal scaption for stimulating the LT  
281 without excessive activation of the UT. Furthermore, considering the specific adaptation to  
282 the imposed demands principle, it is important to induce the desirable motion of the scapula  
283 and muscle in a practical motion such as scaption by adding trunk rotation. Therefore,  
284 patients with shoulder pathology with decreased scapular ER, posterior tilt, and decreased  
285 LT activation during elevation of the arm may benefit from this type of exercise.

## Trunk rotation during shoulder exercises

286

287 **Shoulder external rotation - 1st ER and 2nd ER**

288       The 1st ER is typically performed for strengthening the infraspinatus<sup>1, 8</sup> however, in  
289 this study, we focused on the scapular kinematics and the scapular muscle activity. Cools et  
290 al.<sup>5</sup> recommended the 1st ER for strengthening the scapular muscles due to their low UT/LT  
291 and UT/MT ratios. In the 1st ER with trunk rotation, the angle of scapular ER was not  
292 changed, but the EMG activity of the LT significantly increased and the UT/LT ratio was  
293 significantly decreased. Although LT activity is low during the 1st ER with trunk rotation  
294 ( $12.5\% \pm 8.7\%$ ), the extremely low UT/LT ratio of this exercise may be beneficial for  
295 retraining neuromuscular control of scapular muscles, especially in the initial stage of  
296 rehabilitation. Therefore, the 1st ER with trunk rotation may enhance LT activity especially  
297 as an initial therapeutic exercise in patients with excessive UT activation.

298       The 2nd ER is an action similar to the late-cocking phase of the throwing motion,<sup>12</sup> so  
299 this exercise is important for overhead-throwing athletes. Previous studies have proposed the  
300 concept of internal impingement of the posterior cuff, which is a pathologic contact in the  
301 2nd ER position between the greater tuberosity and the posterosuperior glenoid rim, often  
302 observed in overhead athletes with shoulder pain.<sup>10, 17</sup> Mihata et al.<sup>29</sup> reported that increased  
303 scapular internal rotation significantly increased glenohumeral contact pressure and the area  
304 of impingement during a simulated throwing motion. In the 2nd ER with trunk rotation, the  
305 angle of scapular ER significantly increased, and the EMG activity of the UT, MT, and LT  
306 significantly increased. Therefore, the 2nd ER with trunk rotation may be beneficial to

## Trunk rotation during shoulder exercises

307 overhead-throwing athletes who have shoulder pain due to decreased trapezius muscle  
308 activation and scapular ER at the late-cocking phase.

309

### 310 **Scapular retraction - retraction 45, retraction 90, and retraction 145**

311 Scapular retraction exercises in various positions have been widely used to strengthen  
312 the scapular retractor muscles, particularly the MT and LT.<sup>3, 32</sup> With regards to scapular  
313 kinematics, Oyama et al.<sup>32</sup> showed that the general pattern of scapular kinematics observed  
314 during most retraction exercises were scapular ER, upward rotation, and posterior tilt. In the  
315 present study, no difference in the scapular kinematics was observed in retraction exercises  
316 with and without trunk rotation. We assume this is because significant scapular ER already  
317 occurs during retraction exercises without trunk rotation, with no additional scapular  
318 movement occurring with trunk rotation.

319 In retraction 90 and retraction 145 with trunk rotation, the EMG activity of the UT  
320 significantly decreased, and the UT/MT and UT/LT ratios significantly decreased. However,  
321 in retraction 45 with trunk rotation, no change in the EMG activity was observed. We  
322 speculate that because the UT activity was low in retraction 45 without trunk rotation (11.1  
323  $\pm$  10.6%), further reduction of the UT did not occur in retraction 45 with trunk rotation.

324 Trunk rotation further decreases the UT/MT and UT/LT ratios, and these findings  
325 suggest that adding trunk rotation to retraction 90 may be more beneficial for trapezius  
326 muscle balance rehabilitation. Retraction 90 with trunk rotation in this study was performed  
327 in a position similar to the 2nd ER and emphasizes more scapular ER. Considering this,

## Trunk rotation during shoulder exercises

328 retraction 90 with trunk rotation may be useful especially in overhead-throwing athletes with  
329 shoulder pain due to decreased scapular ER at the late-cocking phase.

330 Retraction 145 is used for manual muscle testing of the LT<sup>19</sup> but the UT is highly  
331 activated simultaneously with the LT.<sup>5,32</sup> However, retraction 145 with trunk rotation showed  
332 decreased UT activation and a decreased UT/LT ratio. In addition, the LT activation in  
333 retraction 145 with trunk rotation increased to  $60.2 \pm 29.9\%$ , which is very high activity.  
334 Therefore, retraction 145 with trunk rotation may be adequate for strengthening the LT  
335 without excessive UT activation for patients whose primary problem is LT weakness.

### 336 Limitations

337 Some limitations of this study needs to be considered. First, kinematic data of the  
338 scapula are reliable in humeral elevation angles less than  $120^\circ$ ,<sup>18</sup> but scaption and retraction  
339 145 are exercises at humeral elevation greater than  $120^\circ$ . Thus, scapular angle values for  
340 these exercises should be interpreted cautiously. Nevertheless, our purpose of this study was  
341 to compare the data with and without trunk rotation in the same exercise, so the error, which  
342 could occur due to high shoulder elevation angle, may be discounted.

343 Second, since we determined the muscle activation during movements, conduction  
344 velocity may affect the amplitude and frequency characteristics of the EMG signal. The EMG  
345 data may be influenced by the change of the skin condition and the artifact caused from the  
346 movements.<sup>4</sup> We should also consider crosstalk, which reflects the activity of the adjacent  
347 muscles.<sup>4</sup>

348 Third, we evaluated four scapular muscles using surface EMG, but other deeper



## Trunk rotation during shoulder exercises

349 muscles such as the levator scapulae, rhomboids, and the pectoralis minor were not evaluated  
350 in this study.<sup>5</sup> The limited number of muscles tested in this study did not allow for accurate  
351 analysis of the relationship between the scapular muscle activation and the scapular  
352 kinematics.

353 Fourth, hip and trunk rotation angle were not evaluated in this study. We directed the  
354 subjects to rotate their trunk or trunk and hip maximally, but there might be an appropriate  
355 amount or threshold of hip and trunk rotation angle required to optimize scapular function.  
356 In addition, though adding trunk and hip rotation to shoulder exercises increased muscle  
357 activation or scapular movement, it is not possible to differentiate the effects of hip and trunk  
358 movement on the change in scapular movement and scapular muscle activation from our  
359 study. In order to know this, further study is needed.

360 Lastly, when prescribing these exercises in rehabilitation programs for patients with  
361 shoulder pathology, clinicians should consider whether our results apply, because patients  
362 may produce different results. Likewise, when adding external loads in these exercises, it  
363 may or may not show similar results to this research. Future investigations should perform  
364 evaluations with shoulder patients or with external loads.

## Trunk rotation during shoulder exercises

365

### 366 **Conclusion**

367           We investigated the effect of ipsilateral trunk rotation during shoulder exercises on  
368 the scapula. Scaption, the 1st ER, and the 2nd ER with trunk rotation significantly increased  
369 scapular ER or posterior tilt and LT activation. Retraction 90 and retraction 145 with trunk  
370 rotation significantly decreased UT activation and decreased the UT/MT and UT/LT ratios.

371           Our findings suggest that the shoulder exercises with trunk rotation used in this study  
372 may be effective in patients who have decreased activity of the LT and excessive activation  
373 of the UT or in cases where a decreased scapular external rotation or posterior tilt is observed.

374

## Trunk rotation during shoulder exercises

375   **References**

- 376    1.   Bitter NL, Clisby EF, Jones MA, Magarey ME, Jaberzadeh S, Sandow MJ. Relative  
377       contributions of infraspinatus and deltoid during external rotation in healthy shoulders.  
378       J Shoulder Elbow Surg. 2007;16:563-8. doi:10.1016/j.jse.2006.11.007
- 379    2.   Boettcher CE, Ginn KA, Cathers I. Standard maximum isometric voluntary contraction  
380       tests for normalizing shoulder muscle EMG. J Orthop Res. 2008;26:1591-7.  
381       doi:10.1002/jor.20675
- 382    3.   Burkhart SS, Morgan CD, Kibler WB. The disabled throwing shoulder: spectrum of  
383       pathology Part III: The SICK scapula, scapular dyskinesis, the kinetic chain, and  
384       rehabilitation. Arthroscopy. 2003;19:641-61. doi:10.1016/S0749-8063(03)00389-X
- 385    4.   Carlo J, De luca; The use of surface electromyography in biomechanics; Journal of  
386       Applied Biomechanics 1997;13:135-163
- 387    5.   Cools AM, Dewitte V, Lanszweert F, Notebaert D, Roets A, Soetens B et al.  
388       Rehabilitation of scapular muscle balance: which exercises to prescribe? Am J Sports  
389       Med. 2007;35:1744-51. doi:10.1177/0363546507303560
- 390    6.   Cools AM, Witvrouw EE, Declercq GA, Vanderstraeten GG, Cambier DC. Evaluation  
391       of isokinetic force production and associated muscle activity in the scapular rotators  
392       during a protraction-retraction movement in overhead athletes with impingement  
393       symptoms. Br J Sports Med. 2004;38:64-8. doi:10.1136/bjsm.2003.004952

## Trunk rotation during shoulder exercises

- 394 7. Cools AM, Witvrouw EE, Mahieu NN, Danneels LA. Isokinetic scapular muscle  
395 performance in overhead athletes with and without impingement symptoms. *J Athl*  
396 *Train.* 2005;40:104-10.
- 397 8. Cricchio M, Frazer C; Scapulothoracic and Scapulohumeral Exercises: A Narrative  
398 Review of Electromyographic Studies; *Journal of hand therapy*; 2011;4:322–34.  
399 doi:10.1016/j.jht.2011.06.001
- 400 9. Crosbie J, Kilbreath SL, Hollmann L, York S. Scapulohumeral rhythm and associated  
401 spinal motion. *Clin Biomech (Bristol, Avon)*. 2008;23:184-92.  
402 doi:10.1016/j.clinbiomech.2007.09.012
- 403 10. Davidson PA, Elattrache NS, Jobe CM, Jobe FW. Rotator cuff and posterior-superior  
404 glenoid labrum injury associated with increased glenohumeral motion: a new site of  
405 impingement. *J Shoulder Elbow Surg.* 1995;4:384-90.
- 406 11. Digiovine NM, Jobe FW, Pink M, Perry J. An electromyographic analysis of the upper  
407 extremity in pitching. *J Shoulder Elbow Surg.* 1992;1:15-25.
- 408 12. Glousman R, Jobe F, Tibone J, Moynes D, Antonelli D, Perry J. Dynamic  
409 electromyographic analysis of the throwing shoulder with glenohumeral instability. *J*  
410 *Bone Joint Surg Am.* 1988;70:1428-9.
- 411 13. Graichen H, Bonel H, Stammberger T, Haubner M, Rohrer H, Englmeier KH, et al.  
412 Three-dimensional analysis of the width of the subacromial space in healthy subjects

## Trunk rotation during shoulder exercises

- 413 and patients with impingement syndrome. *AJR Am J Roentgenol.* 1999;172:1081–6.  
414 doi:10.2214/ajr.172.4.10587151
- 415 14. Ha SM, Kwon OY, Cynn HS, Lee WH, Park KN, Kim SH, et al. Comparison of  
416 electromyographic activity of the lower trapezius and serratus anterior muscle in  
417 different arm-lifting scapular posterior tilt exercises. *Phys Ther Sport.* 2012;13(4):227-  
418 32. doi: 10.1016/j.ptsp.2011.11.002.
- 419 15. Hermens HJ, Freriks B, Merletti R, Hägg G, Stegeman D, Blok J. *SENIAM 8: Eur*  
420 *recomm surf electromyogr.* 1999.
- 421 16. Johnson G, Bogduk N, Nowitzke A, House D. Anatomy and actions of the trapezius  
422 muscle. *Clin Biomech (Bristol, Avon).* 1994;9(1):44-50. doi: 10.1016/0268-  
423 0033(94)90057-4.
- 424 17. Kaplan LD, McMahon PJ, Towers J, Irrgang JJ, Rodosky MW. Internal impingement:  
425 findings on magnetic resonance imaging and arthroscopic evaluation. *Arthroscopy.*  
426 2004;20:701-4. doi:10.1016/j.arthro.2004.06.006
- 427 18. Karduna AR, McClure PW, Michener LA, Sennett B. Dynamic measurements of three-  
428 dimensional scapular kinematics: a validation study. *J Biomech Eng* 2001;123:184–90.  
429 doi:10.1115/1.1351892
- 430 19. Kendall FP, McCreary EK, Provance PG, Rodgers M, Romani W. *Muscles: testing and*  
431 *function, with posture and pain, North American Edition.* Baltimore: Lippincott  
432 Williams & Wilkins; 2005. ISBN No. 9781451104318

## Trunk rotation during shoulder exercises

- 433 20. Kibler WB. The role of the scapula in athletic shoulder function. *Am J Sports Med.*  
434 1998;26:325-37.
- 435 21. Kibler WB, Sciascia A. Current concepts: scapular dyskinesis. *Br J Sports Med.*  
436 2010;44:300-5. doi:10.1136/bjsm.2009.058834
- 437 22. Kibler WB, Sciascia AD, Uhl TL, Tambay N, Cunningham T. Electromyographic  
438 analysis of specific exercises for scapular control in early phases of shoulder  
439 rehabilitation. *The American Journal of Sports Medicine.* 2008;36:1789-98. doi:  
440 10.1177/0363546508316281
- 441 23. Kristof DM, Danneels L, Cagnie B, Van den Bosch L, Flier J, Cools AM. Kinetic chain  
442 influences on upper and lower trapezius muscle activation during eight variations of a  
443 scapular retraction exercise in overhead athletes. *J Sci Med Sport.* 2013;16:65-70. doi:  
444 10.1016/j.jsams.2012.04.008
- 445 24. Ludewig PM, Braman JP. Shoulder impingement: biomechanical considerations in  
446 rehabilitation. 2011;16:33-9. doi:10.1016/j.math.2010.08.004
- 447 25. Ludewig PM, Cook TM. Alterations in shoulder kinematics and associated muscle  
448 activity in people with symptoms of shoulder impingement. *Phys Ther.* 2000;80:276-  
449 91.
- 450 26. Ludewig PM, Reynolds JF. The association of scapular kinematics and glenohumeral  
451 joint pathologies. *J Orthop Sports Phys Ther.* 2009;39:90-104.  
452 doi:10.2519/jospt.2009.2808

## Trunk rotation during shoulder exercises

- 453 27. Maenhout A, Van Praet K, Pizzi L, Van Herzeele M, Cools A. Electromyographic  
454 analysis of knee push up plus variations: what is the influence of the kinetic chain on  
455 scapular muscle activity? Br J Sports Med. 2010;44:1010-5.  
456 doi:10.1136/bjsm.2009.062810.
- 457 28. McQuade KJ, Smidt GL. Dynamic scapulohumeral rhythm: the effects of external  
458 resistance during elevation of the arm in the scapular plane. J Orthop Sports Phys Ther  
459 1998;27:125–33. doi:10.2519/jospt.1998.27.2.125
- 460 29. Mihata T, McGarry MH, Kinoshita M, Lee TQ. Excessive glenohumeral horizontal  
461 abduction as occurs during the late cocking phase of the throwing motion can be  
462 critical for internal impingement. Am J Sports Med. 2010;38:369-74.  
463 doi:10.1177/0363546509346408.
- 464 30. Mottram SL, Woledge RC, Morrissey D. Motion analysis study of a scapular  
465 orientation exercise and subjects' ability to learn the exercise. Man Ther. 2009;14:13-8.  
466 doi:10.1016/j.math.2007.07.008
- 467 31. Nagai K, Tateuchi H, Takashima S, Miyasaka J, Hasegawa S, Arai R, et al. Effects of  
468 trunk rotation on scapular kinematics and muscle activity during humeral elevation. J  
469 Electromyogr Kinesiol. 2013;23:679-87. doi:10.1016/j.jelekin.2013.01.012
- 470 32. Oyama S, Myers JB, Wassinger CA, Lephart SM. Three-dimensional scapular and  
471 clavicular kinematics and scapular muscle activity during retraction exercises. J Orthop  
472 Sports Phys Ther. 2010;40(3):169-79. doi:10.2519/jospt.2010.3018

## Trunk rotation during shoulder exercises

- 473 33. McCabe RA, Orishimo KF, McHugh MP, Nicholas SJ. Surface electromyographic  
474 analysis of the lower trapezius muscle during exercises performed below ninety  
475 degrees of shoulder elevation in healthy subjects. *N Am J Sports Phys Ther.*  
476 2007 ;2(1):34-43.
- 477 34. Struyf F, Nijs J, Baeyens JP, Mottram S, Meeusen R. Scapular positioning and  
478 movement in unimpaired shoulders, shoulder impingement syndrome, and  
479 glenohumeral instability. *Scand J Med Sci Sports* 2011;21:352–8. doi:10.1111/j.1600-  
480 0838.2010.01274.
- 481 35. Thigpen CA, Padua DA, Morgan N, Kreps C, Karas SG. Scapular kinematics during  
482 supraspinatus rehabilitation exercise: a comparison of full-can versus empty-can  
483 techniques. *Am J Sports Med.* 2006;34:644-52. doi: 10.1177/0363546505281797
- 484 36. Wadsworth DJS, Bullock-Saxton JE. Recruitment patterns of the scapular rotator  
485 muscles in freestyle swimmers with subacromial impingement. *Int J Sports Med.*  
486 1997;18(8):618-624. doi: 10.1055/s-2007-972692
- 487 37. Wu G, van der Helm FC, Veeger HE, Makhsous M, Van Roy P, Anglin C, et al. ISB  
488 recommendation on definitions of joint coordinate systems of various joints for the  
489 reporting of human joint motion – Part II: Shoulder, elbow, wrist and hand. *J Biomech*  
490 2005;38:981–92. doi:10.1016/j.jbiomech.2004.05.042
- 491



# Trunk rotation during shoulder exercises

**Table 1**

Scapular angle, muscle activation, and the muscle activity ratio during scaption.

mean  $\pm$  standard deviation; \*,  $p < 0.05$ ; \*\*,  $p < 0.01$

		Without rotation	With rotation
Scapular angle (°)	External rotation	$1.9 \pm 13.4$	$15.5 \pm 11.9^{**}$
	Upward rotation	$38.4 \pm 10.7$	$35.4 \pm 10.3$
	Posterior tilt	$17.2 \pm 9.7$	$20.5 \pm 7.2^*$
Muscle activation (% maximal voluntary contractions)	UT	$16.1 \pm 7.7$	$17.1 \pm 7.5$
	MT	$6.4 \pm 5.0$	$8.8 \pm 5.1^*$
	LT	$15.2 \pm 8.3$	$25.7 \pm 14.4^{**}$
	SA	$25.4 \pm 9.7$	$25.1 \pm 13.7$
Muscle activity ratio	UT/MT	$3.6 \pm 2.7$	$2.4 \pm 1.5^*$
	UT/LT	$1.3 \pm 0.7$	$0.8 \pm 0.5^*$
	UT/SA	$0.7 \pm 0.4$	$0.9 \pm 0.6$

495

## Trunk rotation during shoulder exercises

**Table 2**

Scapular angle, muscle activation, and the muscle activity ratio during the 1st external rotation.

mean  $\pm$  standard deviation; \*,  $p < 0.05$ ; \*\*,  $p < 0.01$

		Without rotation	With rotation
Scapular angle (°)	External rotation	21.4 $\pm$ 8.0	25.6 $\pm$ 9.1
	Upward rotation	-2.1 $\pm$ 2.8	0 $\pm$ 3.2
	Posterior tilt	-3.5 $\pm$ 2.9	-0.9 $\pm$ 2.1**
Muscle activation (% maximal voluntary contraction)	UT	2.3 $\pm$ 1.9	2.2 $\pm$ 2.0
	MT	5.7 $\pm$ 3.4	7.8 $\pm$ 7.3
	LT	8.2 $\pm$ 4.9	12.5 $\pm$ 8.7**
	SA	0.9 $\pm$ 0.4	1.8 $\pm$ 0.7**
	UT/MT	0.5 $\pm$ 0.3	0.3 $\pm$ 0.2
Muscle activity ratio	UT/LT	0.3 $\pm$ 0.3	0.2 $\pm$ 0.2*
	UT/SA	3.1 $\pm$ 3.3	1.4 $\pm$ 1.1**

## Trunk rotation during shoulder exercises

**Table 3**

Scapular angle, muscle activation, and the muscle activity ratio during the 2nd external rotation.

mean  $\pm$  standard deviation; \*,  $p < 0.05$ ; \*\*,  $p < 0.01$

		Without rotation	With rotation
Scapular angle (°)	External rotation	15.2 $\pm$ 5.2	20.4 $\pm$ 7.3**
	Upward rotation	2.8 $\pm$ 4.6	3.6 $\pm$ 4.4
	Posterior tilt	15.0 $\pm$ 4.8	15.5 $\pm$ 6.2
Muscle activation (% maximal voluntary contraction)	UT	8.1 $\pm$ 3.6	11.4 $\pm$ 5.1**
	MT	8.1 $\pm$ 3.8	14.8 $\pm$ 7.2**
	LT	19.6 $\pm$ 12.0	29.3 $\pm$ 18.9**
	SA	23.5 $\pm$ 15.4	16.2 $\pm$ 9.9**
Muscle activity ratio	UT/MT	1.1 $\pm$ 0.6	1.0 $\pm$ 0.7*
	UT/LT	0.5 $\pm$ 0.3	0.6 $\pm$ 0.4
	UT/SA	0.5 $\pm$ 0.3	1.5 $\pm$ 2.9**

## Trunk rotation during shoulder exercises

**Table 4**

Scapular angle, muscle activation, and the muscle activity ratio during retraction 45.

mean  $\pm$  standard deviation; \*,  $p < 0.05$ ; \*\*,  $p < 0.01$

		Without rotation	With rotation
Scapular angle (°)	External rotation	$22.5 \pm 9.0$	$25.4 \pm 8.8$
	Upward rotation	$-4.0 \pm 8.4$	$-5.6 \pm 7.9$
	Posterior tilt	$0 \pm 5.9$	$-1.2 \pm 6.1$
Muscle activation (% maximal voluntary contraction)	UT	$11.1 \pm 10.6$	$8.3 \pm 6.1$
	MT	$24.9 \pm 13.1$	$28.9 \pm 15.9$
	LT	$35.9 \pm 18.6$	$33.4 \pm 17.2$
	SA	$3.4 \pm 6.6$	$2.0 \pm 0.8$
Muscle activity ratio	UT/MT	$0.5 \pm 0.4$	$0.4 \pm 0.2$
	UT/LT	$0.4 \pm 0.3$	$0.3 \pm 0.2$
	UT/SA	$10.2 \pm 12.1$	$4.9 \pm 4.2^*$

509

## Trunk rotation during shoulder exercises

**Table 5**

Scapular angle, muscle activation, and the muscle activity ratio during retraction 90.

mean  $\pm$  standard deviation; \*,  $p < 0.05$ ; \*\*,  $p < 0.01$

		Without rotation	With rotation
Scapular angle (°)	External rotation	23.2 $\pm$ 8.5	24.9 $\pm$ 9.8
	Upward rotation	-2.7 $\pm$ 7.3	-8.9 $\pm$ 12.5*
	Posterior tilt	6.5 $\pm$ 8.5	6.7 $\pm$ 10.7
Muscle activation (% maximal voluntary contraction)	UT	24.2 $\pm$ 12.2	18.2 $\pm$ 11.5**
	MT	38.4 $\pm$ 17.9	35.3 $\pm$ 14.2
	LT	53.5 $\pm$ 25.0	52.7 $\pm$ 27.0
	SA	4.6 $\pm$ 7.5	4.8 $\pm$ 6.0
Muscle activity ratio	UT/MT	0.9 $\pm$ 0.9	0.6 $\pm$ 0.4*
	UT/LT	0.5 $\pm$ 0.3	0.4 $\pm$ 0.2*
	UT/SA	18.7 $\pm$ 17.1	9.3 $\pm$ 9.5**

## Trunk rotation during shoulder exercises

### Table 6

Scapular angle, muscle activation, and the muscle activity ratio during retraction 145.

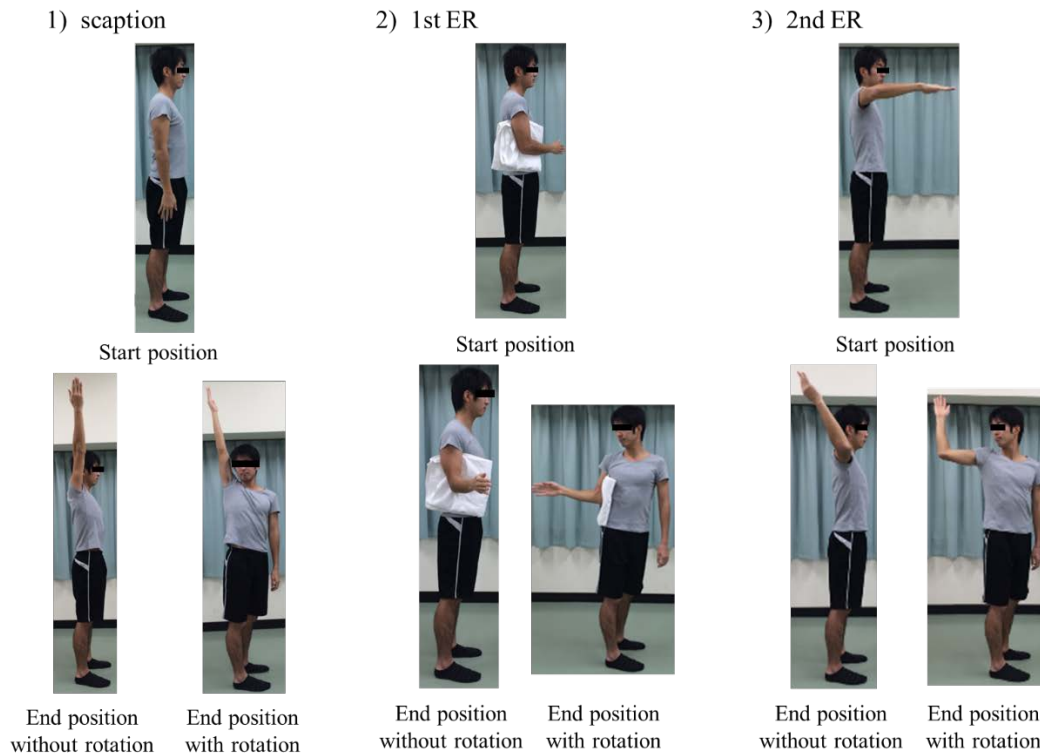
mean  $\pm$  standard deviation; \*,  $p < 0.05$ ; \*\*,  $p < 0.01$

		Without rotation	With rotation
Scapular angle (°)	External rotation	32.4 $\pm$ 11.5	30.8 $\pm$ 8.7
	Upward rotation	6.1 $\pm$ 7.0	-2.6 $\pm$ 12.0*
	Posterior tilt	23.5 $\pm$ 10.3	15.3 $\pm$ 10.0
Muscle activation (% maximal voluntary contraction)	UT	30.6 $\pm$ 15.9	20.2 $\pm$ 7.2*
	MT	25.2 $\pm$ 10.6	27.7 $\pm$ 17.3
	LT	56.7 $\pm$ 28.4	60.2 $\pm$ 29.9
	SA	16.9 $\pm$ 9.3	8.3 $\pm$ 7.1**
	UT/MT	1.5 $\pm$ 1.3	1.0 $\pm$ 0.6*
Muscle activity ratio	UT/LT	0.7 $\pm$ 0.6	0.4 $\pm$ 0.2**
	UT/SA	2.5 $\pm$ 1.7	4.2 $\pm$ 3.0*

517

518

## Trunk rotation during shoulder exercises



**Fig. 1.** Exercises performed in the upright position

1) Scaption: Each subject stood with the shoulder in neutral position while performing maximum elevation of the arms in the plane of the scapula ( $30^\circ$  anterior of the frontal plane).

2) 1st external rotation (ER): Each subject stood with the shoulder at  $45^\circ$  internal rotation and the elbow at  $90^\circ$  flexion while performing maximum external rotation of the shoulder (a towel was positioned between the trunk and elbow to avoid compensatory movements).

3) 2nd ER: Each subject stood with the shoulder at  $90^\circ$  abduction and the elbow at  $90^\circ$  flexion while performing maximum external rotation of the shoulder.

During trunk rotation, all subjects were instructed to maximally rotate their trunk and hip.

## Trunk rotation during shoulder exercises

### 1) retraction 45



Start position



End position without rotation



End position with rotation

### 2) retraction 90



Start position



End position without rotation



End position with rotation

### 3) retraction 145



Start position



End position without rotation



End position with rotation

530

531 **Fig. 2.** Exercises performed in the prone position

532 4) Retraction 45°: Each subject in the prone position with the shoulder at 45° abduction and  
533 90° external rotation with the elbow at 90° flexion performed maximum scapular retraction.

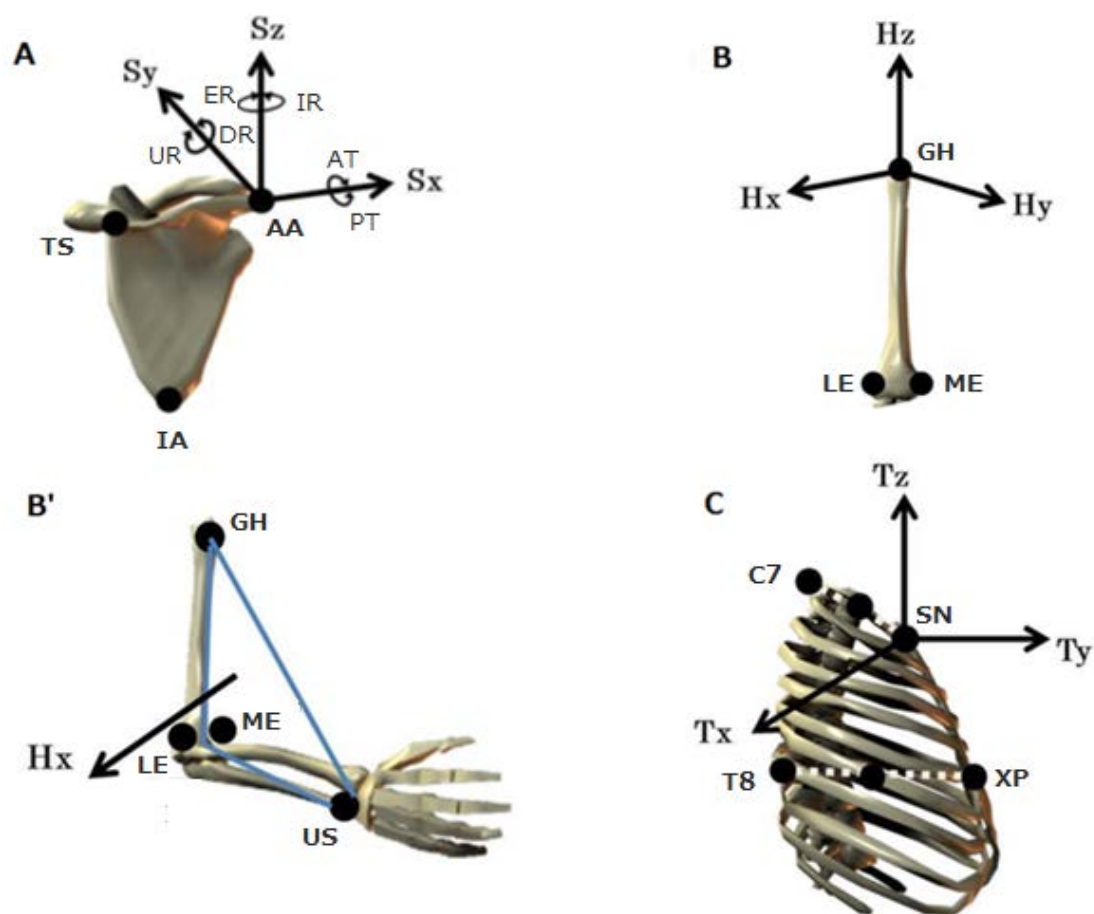
534 5) Retraction 90°: Each subject in the prone position with the shoulder at 90° abduction and  
535 90° external rotation with the elbow at 90° flexion performed maximum scapular retraction.

536 6) Retraction 145°: Each subject in the prone position with the shoulder at 145° abduction  
537 and his thumb pointing toward the ceiling performed maximum scapular retraction.

538 During trunk rotation, all subjects were instructed to maximally rotate their trunk without  
539 moving their pelvis.



## Trunk rotation during shoulder exercises



**Fig. 3.** Anatomic landmarks used for digitization and coordination of axes for each segment.

A) Scapular: AA, acromial angle; TS, trigonum scapulae; IA, inferior angle; UR, upward rotation; DR, downward rotation; ER, external rotation; IR, internal rotation; PT, posterior tilt; AT, anterior tilt. B) Humerus: ME, medial epicondyle; LE, lateral epicondyle. B') Humerus: US, ulnar styloid. C) Thorax: C7, C7 spinous process; T8, T8 spinous process; SN, sternal notch; XP, xiphoid process.

## Trunk rotation during shoulder exercises

549

550